

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 07844-622001	Application No. 10/699,520
<b>Information Disclosure Statement by Applicant</b> (Use several sheets if necessary)		Applicant Jonathan D. Herbach, et al.	
		Filing Date October 31, 2003	Group Art Unit 2136

(37 CFR §1.98(b))

## U.S. Patent Documents

Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	5,613,089	03/18/1997	Hornbuckle			
	AB	5,757,907	05/26/1998	Cooper et al.			
	AC	6,986,039	01/2006	Leah, et al.			
	AD	2003/0196120	10/2003	Raley, et al.			
	AE	2003/0196121	10/2003	Raley, et al.			
	AF	2003/0217264	11/2003	Martin, et al.			
	AG						
	AH						

## Foreign Patent Documents or Published Foreign Patent Applications

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	AI	1,307,000	05/02/2003	Europe			X	
	AJ	1,326,157	07/09/2003	Europe			X	
	AK							
	AL							

## Other Documents (include Author, Title, Date, and Place of Publication)

Examiner Initial	Desig. ID	Document
	AM	Anticoli, Office Action for EP Application No. 04025826.1 (corresponding to US Pat. App. No. 10/699,124), to be published by USPTO as part of file history, 5 pages
	AN	Donahue, et al. US Patent Application, Serial No. 10/699,541 "Document Control System", filed 10/31/03, 69 pages
	AO	Cervetti, USPTO Final Office Action in U.S. App. Ser. No. 10/699,124, mailed 12/10/2007, to be published by USPTO as part of the file history, 16 pages
	AP	Dunn, USPTO Office Action in USSN 10/699,165 mailed 9/20/07, to be published by USPTO as part of the file history, 14 pages
	AQ	Gordon, Office Action for EP Application No. 04796674.2 (corresponding to US Patent Application No. 10/699,520), to be published by USPTO as part of file history, 6 pages
	AR	Herbach, et al. US Patent Application, Serial No. 10/699,165 "Transparent Authentication Process Integration", filed 10/31/03, 67 pages
	AS	

Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	